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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APR 22

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,417	01/07/2002	Michele Pagano	005914-0090-999

20583  
PENNIE AND EDMONDS  
1155 AVENUE OF THE AMERICAS  
NEW YORK, NY 100362711

**CONFIRMATION NO. 1343**  
**FORMALITIES LETTER**



\*OC00000007515815\*

Date Mailed: 02/22/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

Adjustment date: 05/09/2002 BSAYASII  
05/07/2002 NMARML 00000009 161150 10042417  
01 FC:205 65.00 CR

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

05/07/2002 NMARML 00000009 161150 10042417  
01 FC:205 65.00 CR

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/24/2002 ZJUHAR1 00000034 161150 10042417  
01 FC:105 130.00 CR

05/09/2002 BSAYASII 00000002 161150 10042417  
02 FC:105 130.00 CR

Adjustment date: 05/07/2002 NMARML  
04/24/2002 ZJUHAR1 00000034 161150 10042417  
01 FC:105 130.00 CR



Express Mail No.: EL 477 035 996 US

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: PAGANO, M.

Application No.: 10/042,417

Group Art Unit: 1645

Filed: January 7, 2002

Examiner: Not Yet Assigned

For: METHODS TO IDENTIFY  
COMPOUNDS USEFUL FOR THE  
TREATMENT OF  
PROLIFERATIVE AND  
DIFFERENTIATIVE DISORDERS

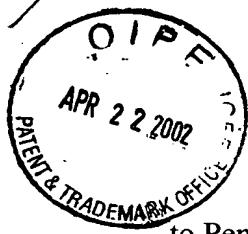
Attorney Docket No.: 5914-090-999

**NOTICE OF LOSS OF ENTITLEMENT TO SMALL ENTITY STATUS  
UNDER 37 C.F.R. § 1.27(g)(2)**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant respectfully notifies the Patent and Trademark Office of a change in status resulting in loss of entitlement to Small Entity Status, pursuant to 37 C.F.R. §1.27(g)(2). Although small entity status was correctly established for the above-identified application at the time of filing, the above-identified application is no longer eligible for entitlement to Small Entity Status. Applicant is thus notifying the Patent and Trademark Office of such change of status.



No fee is due for this notification. Should any fee be required, please charge such fee  
to Pennie & Edmonds LLP Deposit Account No. 16-1150.

Respectfully submitted,

Date April 22, 2002

Laura A. Coruzzi 30,742  
Laura A. Coruzzi (Reg. No.)

By: Jacqueline Benn 43,492  
Jacqueline Benn (Reg. No.)

**PENNIE & EDMONDS LLP**  
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(212) 790-9090



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Attorney Docket No.: 5914-090-999

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to a Notice to File Missing Parts of Application ("Notice") dated February 22, 2002 in connection with the above-identified application, Applicant submits herewith: (a) a copy of the Notice; (b) a Declaration executed by the inventor on March 12, 2002; (c) a Power of Attorney executed by the Assignee on March 8, 2002; (d) an Information Disclosure Statement; (e) revised Form 1449; (f) references AA - DF; and (g) a Notice of Loss of Entitlement to Small Entity Status. Applicant concurrently files herewith an Assignment executed on March 11, 2002 with the accompanying Recordation Form Cover Sheet and the appropriate fee.



With respect to the surcharge required to be filed with the accompanying Declaration under 37 C.F.R. § 1.16(e), Applicant estimates that a fee in the amount of \$130.00 is due.

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150, along with any deficiencies in fees due. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

Date April 22, 2002

Laura A. Coruzzi 30,742  
Laura A. Coruzzi (Reg. No.)

By: Jacqueline Benn 43,492  
Jacqueline Benn (Reg. No.)

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Enclosures